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On 6-15-07
By Angela Loding
Angela Loding

PATENT
Attorney Docket No.: CB-11-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Robert H. Dahla, et al.

Application No.: 10/068,533

Filed: February 5, 2002

For: ELECTROSURGICAL APPARATUS AND
METHODS FOR TREATMENT AND REMOVAL
OF TISSUE

Examiner: Michael F. Peffley

Art Unit: 3739

Confirmation No.: 9992

**INFORMATION DISCLOSURE
STATEMENT UNDER
37 CFR §1.97 and §1.98**

Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. 1.56, 1.97 and 1.98, Applicants wish to call the attention of the Examiner to the references that are listed on the attached PTO form 1449.

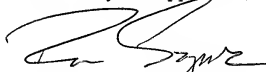
It is respectfully requested that the cited information be considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue there from.

These citations do not constitute an admission that the references are relevant or material to the claims.

This IDS is being filed after the mailing date of the Final Office Action, accordingly, the Commissioner is hereby authorized to charge \$180 to Deposit Account No. 50-0359 of ArthroCare Corporation. Applicants believe that no further fees are due however, the Commissioner is hereby authorized to charge any additional fees necessary or credit any overpayments to Deposit Account No. 50-0359 of ArthroCare Corporation in order to effectuate this filing.

If there are any matters concerning this Application that may be cleared up in a telephone conversation, please contact Applicants' attorney at 512.391.3961.

Respectfully submitted
Attorney for Applicants,



Brian E. Szymczak
Reg. No. 47,120

6/14/2007
Date

CORRESPONDENCE ADDRESS:

ARTHROCARE CORPORATION

CUSTOMER NO. **21394**

512.391.3961

512.391.3901 (fax)

PTO-1449 Information Disclosure Citation in an Application				Application No.		Applicant(s)	
				10/068,533		Robert Dahla et al.	
				Docket Number		Group Art Unit	Filing Date
				CB-11-1		3739	February 5, 2002
U.S. PATENT DOCUMENTS							
		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	A.	3,633,425	01/11/72	Sanford	73	356	01/02/70
	B.	4,474,179	10/02/84	Koch	606	40	05/13/82
	C.	4,907,589	03/13/90	Cosman	606	34	04/29/88
	D.	5,122,138	06/16/92	Manwaring	606	46	11/28/90
	E.	5,281,216	01/25/94	Klicek	606	42	03/31/92
	F.	5,336,443	08/09/94	Eggers	252	511	02/22/93
	G.	5,374,261	12/20/94	Yoon	604	385.01	10/23/90
	H.	5,401,272	03/28/95	Perkins	606	15	02/16/94
	I.	5,891,134	04/06/99	Goble et al.	606	27	09/24/96
	J.	5,954,716	09/21/99	Sharkey et al.	606	32	02/19/98
	K.	6,047,700	04/11/00	Eggers et al.	128	898	05/22/98
	L.	6,174,309	01/16/01	Wrublewski et al.	606	45	02/11/99
	M.	6,261,311	07/17/01	Sharkey et al.	607	96	7/30/99
	N.	6,280,441	08/28/01	Ryan	606	45	12/15/97
	O.	6,468,275	10/22/02	Wampler et al.	606	48	06/23/00
	P.	6,482,201	11/19/02	Olsen et al.	606	41	07/27/00
	Q.	6,517,498	02/11/03	Burbank et al.	600	564	07/20/00
	R.	6,578,579	06/17/03	Burnside	128	897	05/07/01
	S.	6,589,237	07/08/03	Woloszko et al.	606	41	01/19/01
	T.	6,620,156	09/16/03	Garito et al.	606	50	09/20/02
	U.	6,749,604	06/15/04	Eggers et al.	606	41	03/30/00
	V.	6,749,608	06/15/04	Garito et al.	606	45	08/05/02
	W.	6,770,071	8/3/2004	Woloszko et al.	606	41	2/9/2001
	X.	6,780,178	08/24/04	Palanker et al.	600	41	05/03/02
	Y.	6,780,180	08/24/04	Goble et al.	606	41	03/08/00
	Z.	6,802,842	10/12/04	Ellman et al.	606	45	01/02/03
	AA.	6,837,887	1/4/2005	Woloszko et al.	606	41	1/25/2002
EXAMINER					DATE CONSIDERED		
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U.S. PATENT DOCUMENTS									
		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE		
	A.	6,920,883	07/26/05	Bessette et al.	128	898	11/08/02		
	B.	6,929,640	08/16/05	Underwood et al.	606	32	02/24/00		
	C.	6,949,096	09/27/05	Davison et al.	606	41	01/21/03		
	D.	6,960,204	11/01/05	Eggers et al.	606	32	07/16/03		
	E.	6,974,453	12/13/05	Woloszko et al.	606	41	04/20/01		
	F.	6,991,631	01/31/06	Woloszko et al.	606	41	02/13/03		
	G.	7,004,941	02/28/06	Tvinnereim et al.	606	41	11/07/02		
	H.	7,041,102	05/09/06	Truckai et al.	606	51	05/22/03		
	I.	7,070,596	07/04/06	Woloszko et al.	606	41	10/03/00		
	J.	7,090,672	08/15/06	Underwood et al.	606	41	07/02/03		
	K.	7,094,215	08/22/06	Davison et al.	604	22	03/28/03		
	L.	7,104,986	09/12/06	Hovda et al.	606	32	05/12/03		
	M.	7,131,969	11/07/06	Hovda et al.	606	45	05/01/00		
	N.	7,169,143	01/30/07	Eggers et al.	606	32	10/20/05		
	O.	7,179,255	02/20/07	Lettice et al.	606	32	12/20/00		
	P.	7,186,234	03/06/07	Dahla et al.	604	22	02/05/02		
	Q.	7,192,428	03/20/07	Eggers et al.	606	41	03/20/03		
	R.	7,201,750	04/10/07	Eggers et al.	606	41	05/18/99		
	S.	7,217,268	05/15/07	Eggers et al.	606	32	06/24/03		
	T.	RE33,925	05/12/92	Bales et al.	606	48	12/08/88		
	U.	2002/0095151	07/18/02	Dahla et al.	606	41	02/05/02		
	V.	2003/0013986	01/16/03	Saadat	600	549	07/12/01		
	W.	2003/0028189	02/06/03	Woloszko et al.	604	045	06/27/02		
	X.	2003/0088245	05/08/03	Woloszko et al.	606	041	11/04/02		
	Y.	2003/0158545	08/21/03	Hovda et al.	606	032	02/25/03		
	Z.	2003/0171743	09/11/03	Tasto et al.	606	032	02/21/03		
	AA.	2003/0208194	11/06/03	Hovda et al.	606	041	09/26/01		
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	A.	2003/0208196	11/06/03	Stone	606	041	05/03/02
	B.	2003/0212395	11/13/03	Woloszko et al.	606	032	03/05/03
	C.	2003/0212396	11/13/03	Eggers et al.	606	041	03/14/03
	D.	2004/0024399	02/05/04	Sharps et al.	606	032	07/08/03
	E.	2004/0049180	03/11/04	Sharps et al.	606	032	05/13/03
	F.	2004/0054366	03/18/04	Davison et al.	606	045	09/12/03
	G.	2004/0127893	07/01/04	Hovda	606	041	12/11/03
	H.	2004/0153057	08/05/04	Davison	600	410	11/13/03
	I.	2004/0116922	06/17/04	Hovda et al.	606	041	09/05/03
	J.	2004/0186469	09/23/04	Woloszko et al.	606	041	02/05/04
	K.	2004/0230190	11/18/04	Dahla et al.	604	041	03/12/04
	L.	2005/0004634	01/06/05	Hovda et al.	606	041	07/29/04
	M.	2005/0010205	01/13/05	Hovda et al.	606	032	03/12/04
	N.	2005/0119650	06/02/05	Sanders et al.	424	426	10/20/04
	O.	2005/0131402	06/16/05	Ciarrocca et al.	600	450	01/03/05
	P.	2005/0187543	08/28/05	Underwood et al.	606	041	04/25/02
	Q.	2005/0234439	10/20/05	Underwood et al.	606	032	03/25/05
	R.	2005/0251134	11/10/05	Woloszko et al.	606	032	05/09/05
	S.	2005/0261754	11/24/05	Woloszko et al.	606	032	04/12/05
	T.	2005/0288665	12/29/05	Woloszko et al.	606	041	06/24/05
	U.	2006/0036237	02/16/06	Davison et al.	606	041	06/03/05
	V.	2006/0095026	05/04/06	Hovda et al.	606	032	09/19/05
	W.	2006/0095031	05/04/06	Ormsby, T.	606	034	09/22/05
	X.	2006/0129145	06/15/06	Ormsby et al.	606	041	10/11/05
	Y.	2006/0178670	08/10/06	Woloszko et al.	606	048	01/17/06
	Z.	2006/0189971	08/24/06	Eggers et al.	606	032	11/23/05
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		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE		
	A.	2006/0253117	11/09/06	Hovda et al.	128	898	03/09/06		
	B.	2006/0259025	11/16/06	Dahla	607	108	05/16/05		
	C.	2007/001088	01/11/07	Dahla	606	041	07/06/05		
	D.	2007/0010809	01/11/07	Sanders et al.	606	032	08/02/06		
	E.	2,050,904	4/11/36	S.T. Trice	219	31	11/26/1934		
	F.	3,707,149	12/26/72	Hao et al.	128	303.14	10/16/70		
	G.	3,964,487	6/22/76	Judson	606	39	12/9/74		
	H.	4,033,351	7/5/77	Hetzel	606	48	9/14/1976		
	I.	4,240,441	12/23/80	Khalil	600	505	10/10/1978		
	J.	4,418,692	12/6/83	Guay	606	42	11/17/78		
	K.	4,572,206	2/25/86	Geddes et al.	600	505	4/21/1982		
	L.	4,580,557	4/8/86	Hertzmann	606	12	8/22/83		
	M.	4,587,975	5/13/86	Salo et al.	600	506	7/2/1984		
	N.	4,709,698	12/1/87	Johnston et al.	606	41	5/14/1986		
	O.	4,860,752	8/29/89	Turner	607	102	2/18/1988		
	P.	4,955,377	9/11/90	Lennox et al.	607	105	10/28/1988		
	Q.	5,057,105	10/15/91	Malone et al.	606	28	8/23/1990		
	R.	5,057,106	10/15/91	Kasevich et al.	606	33	7/9/1990		
	S.	5,083,565	1/28/92	Parins et al.	600	374	8/3/1990		
	T.	5,092,339	3/3/92	Geddes et al.	606	505	7/23/1990		
	U.	5,098,431	3/24/92	Rydell	606	48	7/3/1990		
	V.	5,183,338	2/2/93	Wickersheim et al.	374	131	12/13/91		
	W.	5,249,585	10/5/93	Turner et al.	607	99	11/5/1990		
	X.	5,255,980	10/26/93	Thomas et al.	374	161	5/14/92		
	Y.	5,281,218	1/25/94	Imran	606	41	6/5/1992		
	Z.	5,318,563	6/7/94	Malis et al.	606	38	6/4/1992		
	AA.	5,334,183	8/2/94	Wuchinich	606	46	4/9/1992		
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		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE		
	A.	5,336,220	8/9/94	Ryan et al.	604	22	10/9/1992		
	B.	5,417,687	5/23/95	Nardella et al.	606	32	4/30/1993		
	C.	5,419,767	5/30/95	Eggers et al.	604	114	8/24/1993		
	D.	5,437,662	8/1/95	Nardella	606	40	2/17/1994		
	E.	5,458,596	10/17/95	Lax et al.	606	31	5/6/94		
	F.	5,458,597	10/17/95	Edwards et al.	606	41	11/8/93		
	G.	5,472,443	12/5/95	Cordis et al.	606	48	3/17/94		
	H.	5,505,730	4/9/96	Edwards et al.	606	41	6/24/94		
	I.	5,542,915	8/6/96	Edwards et al.	604	22	1/12/1994		
	J.	5,562,703	10/8/96	Desai	606	210	6/14/1994		
	K.	5,658,278	8/19/97	Imran et al.	606	41	1/31/1995		
	L.	5,660,567	8/26/97	Nierlich et al.	439	620.21	11/14/95		
	M.	5,722,975	3/3/98	Edwards et al.	606	41	6/7/1995		
	N.	5,749,869	5/12/98	Lindenmeier et al.	606	34	6/1/1995		
	O.	5,755,753	5/26/98	Knowlton	607	98	5/5/1995		
	P.	5,769,847	6/23/98	Panescu et al.	606	42	4/24/1996		
	Q.	5,785,705	7/28/98	Baker	606	32	10/24/1995		
	R.	5,786,578	7/28/98	Christy et al.	219	720	6/30/97		
	S.	5,800,429	9/1/98	Edwards	606	41	11/19/1996		
	T.	5,810,802	9/22/98	Panescu et al.	606	31	1/24/1997		
	U.	5,964,786	10/12/99	Ochs et al.	607	5	2/10/98		
	V.	6,162,217	12/19/00	Kannenberg et al.	606	34	4/21/99		
	W.	6,197,021	3/6/01	Panescu et al.	606	31	9/17/98		
	X.	6,283,961	9/4/01	Underwood et al.	604	41	6/3/99		
	Y.	6,409,722	6/25/02	Hoey et al.	606	34	7/6/99		
	Z.	6,440,129	8/27/02	Simpson	606	42	2/10/00		
	AA.	6,866,671	3/15/05	Tierney et al.	606	130	8/13/2001		
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		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE		
	A.	6,878,149	4/12/05	Gatto	606	46	4/2/2002		
	B.	6,890,307	5/10/05	Kokate et al.	600	549	1/9/02		
	C.	6,892,086	5/10/05	Russell	600	372	10/17/2001		
	D.	6,979,601	12/27/05	Marr et al.	438	132	4/21/2003		
	E.	6,986,700	1/17/06	Hood	451	6	7/21/2003		
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		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION		
							YES	NO	
	F.	0740926 A2	11/6/96	EP (w/abstract)	A61B	17/39		X	
	G.	2 308 981	07/16/97	GB	A61B	17/36	X		
	H.	05/000434	12/20/06	NL	---	---	X		
	I.	01/87154	5/18/01	WO	A61B	5/05	X		
	J.	02/36028	5/10/02	WO	A61B	18/12	X		
	K.	05/125287	12/29/05	WO	A61B	18/00	X		
	L.	97/18768	5/29/1997	WO	A61B	17/39	X		
	M.	99/20213	4/29/1999	WO	A/61F	7/12	X		
	N.	00/000098	1/6/2000	WO	A61B	17/36	X		
	O.	00/009053	2/24/00	WO	A/61F	7/12	X		
	P.	02/102255	2/27/2002	WO	A61B	17/20	X		
	Q.	03/024305	3/27/2003	WO	A61B		X		
	R.	03/092477	11/13/2003	WO	A61B		X		
	S.	04/071278	8/26/2004	WO	A61B		X		
	T.	07/006000	1/11/2007	WO	A61B	18/14	X		
	U.	07/056729	5/18/2007	WO	A61B	18/14	X		
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NON-PATENT DOCUMENTS				
		DOCUMENT (Including Author, Title, Source, and Pertinent Pages)		DATE
A.	Dennis et al., "Evolution of Electrofulguration in Control of Bleeding of Experimental Gastric Ulcers," Digestive Diseases and Sciences, Vol. 24, No. 11, 845-848			11/1979
B.	Lu, et al., "Electrical Thermal Angioplasty: Catheter Design Features, In Vitro Tissue Ablation Studies and In Vitro Experimental Findings," <i>Am J. Cardiol</i> Vol. 60, pp. 1117-1122			11/01/87
C.	O'Malley, Schaum's Outline of Theory and Problems of Basic Circuit Analysis, McGraw-Hill, 2 nd Ed., pp. 3-5			1992
D.	Pearce, John A., "Electrosurgery", Handbook of Biomedical Engineering, chapter 3, Academic Press Inc., N.Y., pp. 98-113			1988
E.	Protell et al., "Computer-Assisted Electrocoagulation: Bipolar v. Monopolar in the Treatment of Experimental Canine Gastric Ulcer Bleeding," <i>Gastroenterology</i> Vol. 80, No. 3, pp. 451-455			1981
F.	Stoffels, E. et al., "Investigation on the Interaction Plasma-Bone Tissue", E-MRS Spring Meeting, 1 pg			06/18-21/2002
G.	Stoffels, E. et al., "Biomedical Applications of Plasmas", Tutorial presented prior to the 55 th Gaseous Electronics Conference in Minneapolis, MN, 41 pgs			10/14/02
H.	Stoffels, E. et al., "Plasma Interactions with Living Cells", Eindhoven University of Technology, 1 pg			2002
I.	Stoffels, E. et al., "Superficial Treatment of Mammalian Cells using Plasma Needle", J. Phys. D: Appl. Phys. 26, pp. 2908-2913			11/19/03
J.	Stoffels, E. et al., "Plasma Needle", Eindhoven University of Technology, 1 pg			11/28/03
K.	Stoffels, E. et al., "Plasma Physicists Move into Medicine", Physicsweb, 1 pg			11/2003
L.	Stoffels, E. et al., "Plasma Treated Tissue Engineered Skin to Study Skin Damage", Biomechanics and Tissue Engineering, Materials Technology, 1 pg			2003
M.	Stoffels, E. et al., "Plasma Treatment of Dental Cavities: A Feasibility Study", IEEE Transaction on Plasma Science, Vol. 32, No. 4, pp. 1540-1542			08/2004
N.	Stoffels, E. et al., "The Effects of UV Irradiation and Gas Plasma Treatment on Living Mammalian Cells and Bacteria: A Comparative Approach", IEEE Transaction on Plasma Science, Vol. 32, No. 4, pp. 1544-1550			08/2004
O.	Stoffels, E. et al., "Electrical and Optical Characterization of the Plasma Needle", New Journal of Physics 6, pp. 1-14			10/28/04
P.	Stoffels, E. et al., "Where Plasma Meets Plasma", Eindhoven University of Technology, 23 pgs			2004
Q.	Stoffels, E. et al., "Gas Plasma effects on Living Cells", Physica Scripta, T107, pp. 79-82			2004
R.	Stoffels, E. et al., "Plasma Treatment of Mammalian Vascular Cells: A Quantitative Description", IEEE Transaction on Plasma Science, Vol. 33, No. 2, pp. 771-775			04/2005
S.	Stoffels, E. et al., "Deactivation of Escherichia Coli by the Plasma Needle", J. Phys. D: Appl. Phys. 38, pp. 1716-1721			05/20/05
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		DOCUMENT (Including Author, Title, Source, and Pertinent Pages)		DATE
A.	Stoffels, E. et al., "Development of a Gas Plasma Catheter for Gas Plasma Surgery", XXVIIth ICPIG, Endoven University of Technology, pp. 18-22			07/2005
B.	Stoffels, E. et al., "Development of a Smart Positioning Sensor for the Plasma Needle", Plasma Sources Sci. Technol. 15, pp. 582-589			06/27/06
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I.	Wyeth, "Electrosurgical Unit" pp. 1181-1202			No date
J.	Buchelt, et al. "Excimer Laser Ablation of Fibrocartilage: An In Vitro and In Vivo Study", Lasers in Surgery and Medicine, Vol. 11, pgs. 271-279, 1991			1991
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M.	O'Neill et al., "Percutaneous Plasma Discectomy Stimulates Repair in Injured Porcine Intervertebral Discs", Dept. of Orthopaedic Surgery, Dept. of Radiology University of California at San Francisco, CA, 3 pgs			No date
N.	PCT International Search Report for PCT/US99/14685 (Atty docket no. CB-01PC), 1 pg			Mailed 10/21/1999
O.	PCT Notification of International Preliminary Examination Report for PCT/US99/14685 (Atty docket no. CB-01PC), 4 pgs			Mailed 12/20/2001
P.	PCT International Search Report for PCT/US98/22323 (Atty docket no. CB-02PC), 1 pg			Mailed 3/3/1999
Q.	PCT Notification of International Preliminary Examination Report for PCT/US98/22323 (Atty docket no. CB-02PC), 5 pgs			Mailed 11/28/2000
R.	European Search Report for EP 98953859 (Atty docket no. CB-02EP), 2 pgs			7/2/2001
EXAMINER			DATE CONSIDERED	
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.				

PTO-1449 Information Disclosure Citation in an Application		Application No.	Applicant(s)	
		10/068,533	Robert Dahla et al.	
		Docket Number	Group Art Unit	Filing Date
		CB-11-1	3739	February 5, 2002
NON-PATENT DOCUMENTS				
		DOCUMENT (Including Author, Title, Source, and Pertinent Pages)		DATE
	A.	Supplementary European Search Report for EP 98953859 (Atty docket no. CB-02EP), 3 pgs		10/10/2001
	B.	PCT International Search Report for PCT/US99/18289 (Atty docket no. CB-07PC), 1 pg		Mailed 12/7/1999
	C.	PCT Notification of International Preliminary Examination Report for PCT/US99/18289 (Atty docket no. CB-07PC), 4 pgs		Mailed 7/7/2000
	D.	European Search Report for EP 99945039.8 (Atty docket no. CB-07EP), 3 pgs		10/21/2001
	E.	PCT International Search Report for PCT/US02/19261 (Atty docket no. CB-11-1), 1 pg		Mailed September 18, 2002
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	G.	PCT International Search Report for PCT/US02/29476 (Atty docket no. CB-12), 1 pg		Mailed May 24, 2004
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	I.	PCT International Search Report for PCT/US04/03614 (Atty docket no. CB-16), 1 pg		Mailed September 14, 2004
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